

**Open Report on behalf of Pete Moore - Executive Director of Finance and Public Protection**

Report to:	<b>Lincolnshire Pension Board</b>
Date:	<b>07 June 2018</b>
Subject:	<b>Employer issue – LCC Improvement Plan</b>

**Summary:**

The Pension Board have previously been made aware of an issue with an employer in the Fund, Lincolnshire County Council (LCC), in providing certain required information to the Fund's administrator, West Yorkshire Pension Fund (WYPF). Following a report to the Board by LCC in January and an update in March 2018, LCC have been invited again to provide assurance to the Board on their progress in addressing this issue and establishing robust arrangements to fulfil their statutory responsibilities as an employer in this area.

**Recommendation(s):**

That the Board consider progress against the Council's improvement plan and agree any further action required.

**Background**

- 1 It has previously been brought to the attention of the Board that the Fund's largest employer, LCC, had developed a backlog in providing detailed leaver information to the Fund's administrator, WYPF. At the meeting in January the Board received a presentation from LCC and their payroll provider detailing how they were addressing the situation and setting out an improvement plan. The improvement plan documented the actions to be taken to provide WYPF all outstanding leavers information for 31 May which would allow WYPF to process, and issue all Annual Benefit Statement to employees by 31 July 2018.
- 2 A report on progress was presented to the Board at their meeting in March. At this meeting it was resolved that the Board's concerns would be raised with the Executive Director for Finance and Public Protection and they would advise the Executive Director to self-report the breach to the Pension Regulator (TPR). Following the March meeting LCC self-reported this breach to the TPR. At this meeting it was also agreed that a further update would be provided to the Board in June, as all information should have been submitted to WYPF for processing by this date.

- 3 Vicki Sharpe – Pay and Reward Lead from LCC will be in attendance at the meeting. Vicki will present an update to the Board covering: progress on the leavers issue, an assurance statement that the overall situation with payroll data is improving and that LCC are monitoring the performance across all areas of the payroll contract to ensure that the statutory responsibilities of the employer are being met.

### **Conclusion**

- 4 The Pension Fund monitors the performance of the scheme employers against their statutory responsibilities, and ensures that the Pension Board is made aware of any issues. The Board will consider whether any action is required, and will look to work with the Fund, WYPF and the employer to address any concerns.

### **Consultation**

#### **a) Have Risks and Impact Analysis been carried out?**

Yes

#### **b) Risks and Impact Analysis**

The Pension Fund has a risk register which can be obtained by contacting the author of this report.

### **Background Papers**

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